B. Com (Hons.) (Accounting and Taxation)
CORE COURSE (CC)
SEMESTER IV
(BCB32401T): E- FINANCIAL MARKET AND SERVICES

MAX. MARKS: 100
EXTERNAL: 70
INTERNAL: 30
PASS: 40%
Credits: 4

Objective:
Provide knowledge and understanding to the students regarding financial market and tools, technique, application and use of E- Financial services.

Course Outcomes:

CO 1:	To remember the role and significance of the Indian financial market.
CO 2:	To understand various financial services and financial intermediaries.
CO 3:	To analyze the concepts relevant to Indian financial markets and financial institutions.
CO 4:	To apply the various mechanisms, depositories bodies, statements, regulation and indices.

SECTION A

Block 1: (Introduction to Financial Services)
Financial Services, Characteristics and Kinds of financial services. Financial intermediaries rendering financial services. Role of financial services in Indian financial system.
Introduction meaning of equity shares. Evolution, structure and functions of equity market in India. Market For Equity – Primary Markets IPO – Methods followed. Book building.

Block 2: (Financial Markets)
Secondary Markets: Definition and functions of Stock Exchanges.
Meaning of financial market, Types of traders, Types of trade, Brokerage calculation.
Depositary: An Introduction. Practical aspects and background of Depositaries: NSDL, CDSL. Statements: Holding Statement, Transaction Statement. Dematerialization Procedure

SECTION B

Block 3 :(DEMAT and Settlement Procedure)
DEMAT: Account Opening Procedure, Nomination Practices; off line Demat Account & Online Demat Account. Clearing & Settlement Procedure, Delivery Instruction Slip Practices for Depositary Participants & Beneficial Owner.

Block 4: (Trading on Stock Exchange)

Trader Work station: User Interface, Practical terminology and operation of work stations.
Trading on stock exchange: Online trading, Internet trading. Composition of Sensex, Nifty and Sectoral indices.

Suggested Reading:

1. Mishkin, F. S., & Eakins, S. G. (2023). Financial markets and institutions. Pearson Education India.
2. Pathak, B. V. (2014). Indian financial system. Pearson Education India.
3. Falconer, S. (2014). Financial services management: a qualitative approach. Routledge.
4. Gordon, E., & Natarajan, K. (2009). Financial markets and services. Mumbai: Himalaya Publishing House.
5. Khan, M. Y. (2004). Financial services. Tata McGraw-Hill.

INSTRUCTIONS FOR THE PAPER SETTER/EXAMINER:

1. The syllabus prescribed should be strictly adhered to.
2. The question paper will consist of three sections: A, B, and C. Sections A and B will have four questions from the respective sections of the syllabus and will carry 10 marks each. The candidates will attempt two questions from each section.
2. Section C will have fifteen short answer questions covering the entire syllabus. Each question will carry 3 marks. Candidates will attempt any ten questions from this section.
3. The examiner shall give a clear instruction to the candidates to attempt questions only at one place and only once. Second or subsequent attempts, unless the earlier ones have been crossed out, shall not be evaluated.
4. The duration of each paper will be three hours.

INSTRUCTIONS FOR THE CANDIDATES:

Candidates are required to attempt any two questions each from the sections A and B of the question paper and any ten short questions from Section C. They have to attempt questions only at one place and only once. Second or subsequent attempts, unless the earlier ones have been crossed out, shall not be evaluated.